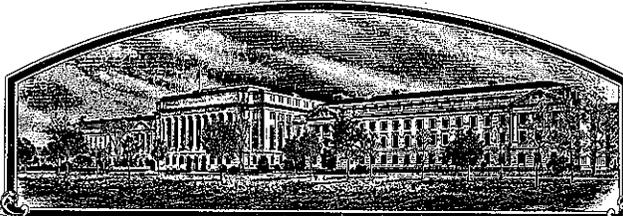


No.



9400030

# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

## DEKALB Genetics Corporation

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED, PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'CX314'

*In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this twenty-ninth day of March in the year of our Lord one thousand nine hundred and ninety-six.*

Attest:

*Marsha A. St...*  
Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

*Jan Filutowicz*  
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE

**APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE**  
(Instructions on reverse)

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S) (as it is to appear on the Certificate) <b>DEKALB Genetics Corporation</b>		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO. <b>EX131</b>	3. VARIETY NAME <b>CX314</b>
4. ADDRESS (street and no. or R.F.D. no., city, state, and ZIP) <b>3100 Sycamore Road DeKalb, IL 60115</b>		5. PHONE (include area code) <b>815/758-3461</b>	FOR OFFICIAL USE ONLY PVPO NUMBER <b>9400030</b>
6. GENUS AND SPECIES NAME <b>Glycine Max L. Merr.</b>	7. FAMILY NAME (Botanical) <b>Leguminosae</b>		
8. CROP KIND NAME (Common Name) <b>Soybean</b>	9. DATE OF DETERMINATION <b>Fall 1990</b>		F I L I N G Date <b>Nov. 26, 1993</b> Time <b>2:20</b> <input type="checkbox"/> A.M. <input checked="" type="checkbox"/> P.M.
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) <b>Corporation</b>		F E E S Filing and Examination Fee: <b>\$ 2325.00</b> Date <b>Nov. 16, 1993</b>	
11. IF INCORPORATED, GIVE STATE OF INCORPORATION <b>Delaware</b>	12. DATE OF INCORPORATION <b>June 15, 1988</b>		R E C E I V E D Certificate Fee: <b>\$ 300.00</b> Date <b>FEB. 26, 1996</b>
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS <b>Mr. Robert E. Roman, Jr., Assistant General Counsel DEKALB Genetics Corporation 3100 Sycamore Road DeKalb, IL 60115</b>			

PHONE (include area code): **815/758-3461**

14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse)

- a.  Exhibit A, Origin and Breeding History of the Variety.
- b.  Exhibit B, Novelty Statement.
- c.  Exhibit C, Objective Description of Variety.
- d.  Exhibit D, Additional Description of Variety.
- e.  Exhibit E, Statement of the Basis of Applicant's Ownership.
- f.  Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office \_\_\_\_\_
- g.  Filing and Examination Fee (\$2,150) made payable to "Treasurer of the United States."

15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 83(a) of the Plant Variety Protection Act.)  
 YES (If "YES," answer items 16 and 17 below)       NO (If "NO," skip to item 18 below)

16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?  
 YES       NO

17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?  
 FOUNDATION       REGISTERED       CERTIFIED

18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.?  
 YES (If "YES," through  Plant Variety Protection Act       Patent Act. Give date: \_\_\_\_\_ )  
 NO

19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETED IN THE U.S. OR OTHER COUNTRIES?  
 YES (If "YES," give names of countries and dates) **U.S.A., spring 1993**  
 NO

20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable.  
 The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act.  
 Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.

SIGNATURE OF APPLICANT (Owner(s)) <i>Robert Mark Lawson</i>	CAPACITY OR TITLE <b>DIRECTOR, RESEARCH OPERATIONS</b>	DATE <b>10-27-93</b>
SIGNATURE OF APPLICANT (Owner(s))	CAPACITY OR TITLE	DATE

**ORIGIN AND BREEDING HISTORY**  
**CX314**

CX314 is an F3 derived variety from the cross CM304-21 x Asgrow 3127, made in 1982. The F1 and F2 generations were grown in a winter nursery. The F2 was advanced to the F3 via modified single seed descent. The F4 generation was yield tested in 1984. During the period 1985-89, CX314 underwent additional yield testing and purification. In 1990, CX314 was yield tested and 4,900 pounds of breeder seed was produced. In 1991, CX314 was yield tested and 39,000 pounds of foundation seed was produced. In 1992, CX314 was yield tested and 230,000 pounds of registered seed was produced. On February 5, 1993, CX314 was designated a commercial variety.

**STATEMENT OF UNIFORMITY AND STABILITY**

CX314 was judged to be uniform for breeding use and testing after eight generations. CX314 has been reproduced and judged uniform and stable for three additional generations.

## Exhibit B

**NOVELTY STATEMENT**

Variety CX314 most closely resembles varieties P9301, P9302, P9311, P9331, P9303, A3205, A3242, S30-41, S31-33, S33-32, and A3322. The white flower color of CX314 distinguishes it from P9301, P9302, P9311, P9331, P9303, A3205, A3242, and S30-41, which have purple flower color. The brown hila color of CX314 distinguishes it from S31-33 and A3322 which have black hila. CX314 has brown pod color which distinguishes it from S33-22, which has tan pod color.

## Exhibit E

*Supplemented 11/29/96*

**STATEMENT OF THE BASIS OF APPLICANT'S OWNERSHIP**

DEKALB Genetics Corporation has purchased sole rights to variety CX314 from the originator.

U.S. DEPARTMENT OF AGRICULTURE  
 AGRICULTURAL MARKETING SERVICE  
 LIVESTOCK, MEAT, GRAIN & SEED DIVISION  
 PLANT VARIETY PROTECTION OFFICE  
 BELTSVILLE, MARYLAND 20705

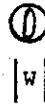
EXHIBIT C  
 (Soybean)

OBJECTIVE DESCRIPTION OF VARIETY  
 SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) DEKALB Genetics Corporation	TEMPORARY DESIGNATION EX131	VARIETY NAME CX314
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 3100 Sycamore Road DeKalb, IL 60115		FOR OFFICIAL USE ONLY PVPO NUMBER 9400030

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,  ). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)  
 3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)  
 4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow      2 = Green      3 = Brown      4 = Black      5 = Other (Specify) \_\_\_\_\_

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')      2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

1 = Buff      2 = Yellow      3 = Brown      4 = Gray      5 = Imperfect Black      6 = Black      7 = Other (Specify) \_\_\_\_\_

★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow      2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low      2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1<sup>a</sup>)      2 = Type B (SP1<sup>b</sup>)

★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')      2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')  
 3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')  
 4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ 10. LEAFLET SHAPE:

1 = Lanceolate      2 = Oval      3 = Ovate      4 = Other (Specify) \_\_\_\_\_

11. LEAFLET SIZE:

2

1 = Small ('Amsoy 71'; 'A5312')  
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

12. LEAF COLOR:

2

1 = Light Green ('Weber'; 'York')  
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

★ 13. FLOWER COLOR:

1

1 = White

2 = Purple

3 = White with purple throat

★ 14. POD COLOR:

2

1 = Tan

2 = Brown

3 = Black

★ 15. PLANT PUBESCENCE COLOR:

1 = Gray

2 = Brown (Tawny)

Light Tawny

16. PLANT TYPES:

2

1 = Slender ('Essex'; 'Amsoy 71')  
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

★ 17. PLANT HABIT:

3

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

★ 18. MATURITY GROUP:

0  6

1 = 000  
9 = VI

2 = 00  
10 = VII

3 = 0  
11 = VIII

4 = I  
12 = IX

5 = II  
13 = X

6 = III

7 = IV

8 = V

★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

★  0 Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★  0 Bacterial Blight (*Pseudomonas glycinea*)

★  0 Wildfire (*Pseudomonas tabaci*)

FUNGAL DISEASES:

★  0 Brown Spot (*Septoria glycines*)

Frogeye Leaf Spot (*Cercospora sojina*)

★  0 Race 1  0 Race 2  0 Race 3  0 Race 4  0 Race 5  0 Other (Specify)

0 Target Spot (*Corynespora cassicola*)

0 Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)

0 Powdery Mildew (*Microsphaera diffusa*)

★  0 Brown Stem Rot (*Cephalosporium gregatum*)

0 Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

FUNGAL DISEASES: (Continued)

- ★  0 Pod and Stem Blight (*Diaporthe phaseolorum* var; *sojae*)
- 0 Purple Seed Stain (*Cercospora kikuchii*)
- 0 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★  1 Race 1     0 Race 2     0 Race 3     0 Race 4     0 Race 5     0 Race 6     0 Race 7
- 0 Race 8     0 Race 9     0 Other (Specify) \_\_\_\_\_

VIRAL DISEASES:

- 0 Bud Blight (Tobacco Ringspot Virus)
- 0 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★  0 Cowpea Mosaic (Cowpea Chlorotic Virus)
- 0 Pod Mottle (Bean Pod Mottle Virus)
- ★  0 Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★  0 Race 1     0 Race 2     1 Race 3     0 Race 4     0 Other (Specify) \_\_\_\_\_
- 0 Lance Nematode (*Hoplolaimus Colombus*)
- ★  0 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★  0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- 0 Reniform Nematode (*Rotylenchulus reniformis*)
- OTHER DISEASE NOT ON FORM (Specify): \_\_\_\_\_

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★  1 Iron Chlorosis on Calcareous Soil
- Other (Specify) \_\_\_\_\_

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- 0 Mexican Bean Beetle (*Epilachna varivestis*)
- 0 Potato Leaf Hopper (*Empoasca fabae*)
- Other (Specify) \_\_\_\_\_

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	CX329	Seed Coat Luster	CX329
Leaf Shape	CX329	Seed Size	CX329
Leaf Color	CX291	Seed Shape	CX329
Leaf Size	CX329	Seedling Pigmentation	CX291

5

## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/POD
				CM Width	CM Length	% Protein	% Oil		
Submitted	-1	1.7	99			35.6	18.7	17.0	3
CX329 Name of Similar Variety	0	1.7	92			35.7	18.6	17.5	3

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.J. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

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**EXHIBIT E****Statement of the Basis of Applicant's Ownership**

CX314 was developed by another company. The original company is a U.S. national company. By agreement between the original company and DEKALB Genetics Corporation, all rights have been assigned to DEKALB Genetics Corporation.